

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Steven M. Lefkowitz et al.

Serial No.:

Examiner: Unassigned

Filing Date: Herewith

Group Art Unit: N/A

Title: CHEMICAL ARRAYS

10/032608  
10/18/01  
JCS38 U.S. PTO

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 processing fee under 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

☐ Applicant(s) submit herewith Form PTO 1449. All the references identified herein were disclosed in Patent Application No. \_\_\_\_\_ filed \_\_\_\_\_, now U. S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL727589797US

Date of Deposit Oct. 18, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Elizabeth Miller  
Typed Name: Elizabeth Miller

Respectfully submitted,

Steven M. Lefkowitz et al.

By Gordon M. Stewart

Gordon M. Stewart

Attorney/Agent for Applicant(s)  
Reg. No. 30,528

Date: Oct. 17, 2001

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10004108-1

SERIAL NO.

APPLICANT

Carol T. Schembri et al.

FILING DATE

Herewith

GROUP

N/A

jc828 U.S. PTO  
10/032608

10/18/01

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE          | NAME                  |
|---------------------|----|--------------------|---------------|-----------------------|
|                     | 1A | 6,177,990          | Jan. 23, 2001 | Kain et al.           |
|                     | 1B | 6,060,237          | May 9, 2000   | Nygren et al.         |
|                     | 1C | 6,008,892          | Dec. 28, 1999 | Kain et al.           |
|                     | 1D | 5,847,105          | Dec. 8, 1998  | Baldeschwieler et al. |
|                     | 1E | 5,658,802          | Aug. 19, 1997 | Hayes et al.          |
|                     | 1F | 5,629,214          | May 13, 1997  | Crosby                |
|                     | 1G | 5,552,272          | Sep. 3, 1996  | Bogart                |
|                     | 1H | 5,550,063          | Aug. 27, 1996 | Bogart                |
|                     | 1I | 5,429,807          | Jul. 4, 1995  | Matson et al.         |
|                     | 1J |                    |               |                       |
|                     | 1K |                    |               |                       |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE         | NAME                  | TRANSLATION |    |
|--|----|--------------------|--------------|-----------------------|-------------|----|
|  |    |                    |              |                       | YES         | NO |
|  | 1L | WO 99/54736        | 28 Oct. 1999 | Beckman Coulter, Inc. |             |    |
|  | 1M | WO 96/35971        | 14 Nov. 1996 | Epigem Limited        |             |    |
|  | 1N |                    |              |                       |             |    |
|  | 1O |                    |              |                       |             |    |
|  | 1P |                    |              |                       |             |    |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 1Q |  |
|  | 1R |  |
|  | 1S |  |

EXAMINER

DATE CONSIDERED